LEWIS BRISBOIS®

# Data Gathering Form

LewisBrisbois.com

## *Client #1*

Last Name ______________________________

First Name ______________________________

Middle Initial ______________________________

Nickname ______________________________

Birthdate (MM/DD/YYYY) ______________________________

Home Address ______________________________

City, State, Zip ______________________________

Employer ______________________________

Position ______________________________

__________ Married __________ Divorced __________ Widowed

__________ Single __________ US Citizen

__________ Living in the following states: CA, WA, NV, AZ, NM, TX, ID, LA or WI

Annual Earned Income $ ______________

Increase in Annual Earned Income ______________ %

Years Income is Expected ______________

Annual Social Security Income $ ______________

Annual Pension Income $ ______________

Distributions in Excess of RMD's $ ______________

Additional Qualified Plan Contributions $ ______________

Years to Continue Contributions ______________

Prior Taxable Gifts Made $ ______________

Cumulative Gift Tax Paid $ ______________

***Client #2***

Last Name ______________________________

First Name ______________________________

Middle Initial ______________________________

Nickname ______________________________

Birthdate (MM/DD/YYYY) ______________________________

Home Address ______________________________

City, State, Zip ______________________________

Employer ______________________________

Position ______________________________

______ Married ______ Divorced ______ Widowed

______ Single ______ US Citizen

______ Living in the following states: CA, WA, NV, AZ, NM, TX, ID, LA or WI

Annual Earned Income $ ____________

Increase in Annual Earned Income ____________ %

Years Income is Expected ____________

Annual Social Security Income $ ____________

Annual Pension Income $ ____________

Distributions in Excess of RMD's $ ____________

Additional Qualified Plan Contributions $ ____________

Years to Continue Contributions ____________

Prior Taxable Gifts Made $ ____________

Cumulative Gift Tax Paid $ ____________

## *Family Information*

| Children's Names | Birth Date (MM/DD/YYYY) | Married (Y or N) |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |
| 7. | | |
| 8. | | |
| 9. | | |
| 10. | | |

| Grandchildren's Names | Birth Date (MM/DD/YYYY) | Married (Y or N) |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |
| 7. | | |
| 8. | | |
| 9. | | |
| 10. | | |
| 11. | | |
| 12. | | |
| 13. | | |
| 14. | | |
| 15. | | |

## *Other Loved Ones*

| Names | Birth Date (MM/DD/YYYY) | Married (Y or N) |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |
| 7. | | |
| 8. | | |
| 9. | | |
| 10. | | |
| 11. | | |
| 12. | | |
| 13. | | |
| 14. | | |
| 15. | | |

## *Cash, Savings and CDs*

You can alternatively provide copies of statements

| Institution/Type/Account # | Client #1 | Client #2 | Joint | Yield |
|---|---|---|---|---|
| ______________ | $ __________ | $ __________ | $ __________ | ________ |
| ______________ | $ __________ | $ __________ | $ __________ | ________ |
| ______________ | $ __________ | $ __________ | $ __________ | ________ |
| ______________ | $ __________ | $ __________ | $ __________ | ________ |
| ______________ | $ __________ | $ __________ | $ __________ | ________ |
| ______________ | $ __________ | $ __________ | $ __________ | ________ |
| ______________ | $ __________ | $ __________ | $ __________ | ________ |
| ______________ | $ __________ | $ __________ | $ __________ | ________ |
| ______________ | $ __________ | $ __________ | $ __________ | ________ |
| ______________ | $ __________ | $ __________ | $ __________ | ________ |

## *Marketable Securities - Equities*

| Institution/Type/Account # | Client #1 | Client #2 | Joint | Yield | Growth |
|---|---|---|---|---|---|
| ______________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________ | $ ________ | $ ________ | $ ________ | ________ | ________ |

### *Marketable Securities – Fixed Income*

| Institution/Type/Account # | Client #1 | Client #2 | Joint | Yield | Growth |
| --- | --- | --- | --- | --- | --- |
| | $ | $ | $ | | |
| | $ | $ | $ | | |
| | $ | $ | $ | | |
| | $ | $ | $ | | |
| | $ | $ | $ | | |
| | $ | $ | $ | | |
| | $ | $ | $ | | |
| | $ | $ | $ | | |
| | $ | $ | $ | | |
| | $ | $ | $ | | |

### *Non-Taxable Marketable Securities*

| Institution/Type/Account # | Client #1 | Client #2 | Joint | Yield |
| --- | --- | --- | --- | --- |
| | $ | $ | $ | |
| | $ | $ | $ | |
| | $ | $ | $ | |
| | $ | $ | $ | |
| | $ | $ | $ | |
| | $ | $ | $ | |
| | $ | $ | $ | |
| | $ | $ | $ | |
| | $ | $ | $ | |
| | $ | $ | $ | |

### *Annuities/Deferred Compensation*

| Institution/Type/Account # | Client #1 | Client #2 | Joint | Basis | Total Return |
| --- | --- | --- | --- | --- | --- |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |

### *Other Investments*

Note: These include hedge funds, non-traded securities, oil and gas interests, third party real estate partnerships, notes owed to you and other passive interests

| Entity/Type/% of Ownership | Client #1 | Client #2 | Joint | Yield | Growth |
| --- | --- | --- | --- | --- | --- |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |
| ______________________ | $ ________ | $ ________ | $ ________ | ________ | ________ |

### *Closely Held Businesses*

| Entity/Type/% of Ownership | Client #1 | Client #2 | Joint | Yield | Growth |
|---|---|---|---|---|---|
| | $ | $ | $ | | |
| | $ | $ | $ | | |
| | $ | $ | $ | | |
| | $ | $ | $ | | |
| | $ | $ | $ | | |
| | $ | $ | $ | | |
| | $ | $ | $ | | |
| | $ | $ | $ | | |
| | $ | $ | $ | | |
| | $ | $ | $ | | |

### *Retirement Plans*

| Entity/Type/Account # | Client #1 | Client #2 | Total Return |
|---|---|---|---|
| | $ | $ | |
| | $ | $ | |
| | $ | $ | |
| | $ | $ | |
| | $ | $ | |
| | $ | $ | |
| | $ | $ | |
| | $ | $ | |
| | $ | $ | |
| | $ | $ | |

## *Investment Real Estate*

| Entity/Location/% of Ownership | Client #1 | Client #2 | Joint | Yield | Growth |
|---|---|---|---|---|---|
| ______ | $ ______ | $ ______ | $ ______ | ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ | ______ |

## *Residential Real Estate*

| Location/Description | Client #1 | Client #2 | Joint | Growth |
|---|---|---|---|---|
| ______ | $ ______ | $ ______ | $ ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ |
| ______ | $ ______ | $ ______ | $ ______ | ______ |

## *Other Personal Assets*

| Art | Client #1 | Client #2 | Joint | Growth |
| --- | --- | --- | --- | --- |
| ____________ | $ ________ | $ ________ | $ ________ | ________% |
| ____________ | $ ________ | $ ________ | $ ________ | ________% |
| ____________ | $ ________ | $ ________ | $ ________ | ________% |

| Jewelry | Client #1 | Client #2 | Joint | Growth |
| --- | --- | --- | --- | --- |
| ____________ | $ ________ | $ ________ | $ ________ | ________% |
| ____________ | $ ________ | $ ________ | $ ________ | ________% |
| ____________ | $ ________ | $ ________ | $ ________ | ________% |

| Furnishings | Client #1 | Client #2 | Joint | Growth |
| --- | --- | --- | --- | --- |
| ____________ | $ ________ | $ ________ | $ ________ | ________% |
| ____________ | $ ________ | $ ________ | $ ________ | ________% |
| ____________ | $ ________ | $ ________ | $ ________ | ________% |

| Autos | Client #1 | Client #2 | Joint | Growth |
| --- | --- | --- | --- | --- |
| ____________ | $ ________ | $ ________ | $ ________ | ________% |
| ____________ | $ ________ | $ ________ | $ ________ | ________% |
| ____________ | $ ________ | $ ________ | $ ________ | ________% |

| Other Personal Property | Client #1 | Client #2 | Joint | Growth |
| --- | --- | --- | --- | --- |
| ____________ | $ ________ | $ ________ | $ ________ | ________% |
| ____________ | $ ________ | $ ________ | $ ________ | ________% |
| ____________ | $ ________ | $ ________ | $ ________ | ________% |

## *Personal Liabilities*

| Personal Liability/Corresponding Asset | Client #1 | Client #2 | Joint |
|---|---|---|---|
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |

## *Commercial Liabilities*

| Commercial Liability/Corresponding Asset | Client #1 | Client #2 | Joint |
|---|---|---|---|
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |
| ______________________ | $ ________ | $ ________ | $ ________ |

## *Insurance*

Note: If term insurance, please include expiration date of the policy

| Company/ Type of Insurance | Insured | Policy # | Beneficiary | Premium | Cash Value | Death Benefit |
|---|---|---|---|---|---|---|
| Policies owned by client #1 | | | | | | |
| | | | | $ | $ | $ |
| | | | | $ | $ | $ |
| | | | | $ | $ | $ |
| | | | | $ | $ | $ |
| | | | | $ | $ | $ |
| Policies owned by client #2 | | | | | | |
| | | | | $ | $ | $ |
| | | | | $ | $ | $ |
| | | | | $ | $ | $ |
| | | | | $ | $ | $ |
| | | | | $ | $ | $ |
| Policies owned by others | | | | | | |
| | | | | $ | $ | $ |
| | | | | $ | $ | $ |
| | | | | $ | $ | $ |
| | | | | $ | $ | $ |
| | | | | $ | $ | $ |
| Policies owned by ILIT | | | | | | |
| | | | | $ | $ | $ |
| | | | | $ | $ | $ |
| | | | | $ | $ | $ |
| | | | | $ | $ | $ |
| | | | | $ | $ | $ |

## Data & Document Checklist

### Personal Documents

- ☐ Personal Income Tax Returns (most recent 2 years)
- ☐ Wills
- ☐ Trusts
- ☐ Powers of Attorney
- ☐ Pre-Nuptial Agreements
- ☐ Investment Account Statements
- ☐ Employee Benefit Plans
- ☐ Deferred Compensation Agreements

### Business Documents

- ☐ Business Income Tax Returns (most recent 2 years)
- ☐ Recent Business Balance Sheet
- ☐ Recent Business Cash Flow Statement
- ☐ Business Operating Agreements
- ☐ Business Basis Information
- ☐ Buy Sell Agreements
- ☐ Employee Benefit Plans

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